## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Shigeo KANNA

Application No.: New U.S. Patent Application

Filed: September 29, 2003 Docket No.: 117041

For: SURFACE ACOUSTIC WAVE DEVICE AND METHOD OF ADJUSTING A TEMPERATURE CHARACTERISTIC OF THE SAME

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- 2. English-language Abstracts of the non-English language references 1-3 are attached hereto.
- 3. A computer-generated English translation of the following Japanese Patent Publication has been obtained from the website of the Japanese Patent Office ([http://www.jpo.go.jp]), and is attached, but has not been reviewed for accuracy. See Reference 1.
- 4. Relevance of the non-English language references 2&3 are discussed in the present specification.

Respectfully submitted,

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JAO:EDM/smk

Date: September 29, 2003

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461

| Sheet | 1 | of | 1 |  |
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| Form PTO-1449 US Dept. of Commerce (REV. 8-83) PATENT & TRADEMARK OFFICE |   |   | ATTY D<br>117041 |                           | APPLICATION NO. New U.S. Patent Application |                                       |          |                                       |              |
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| INFO   | RMATI   | ION DISCLOSURE STATEMENT  |                  |                           | ,   |                                       |          |                                       |              |
| (Use several sheets if necessary)  |   |   | 1                | APPLICANT<br>Shigeo KANNA |   |                                       |          |                                       |              |
|  |   |   |                  | FILING I                  | DATE<br>er 29, 2003                         |                                       |          |                                       |              |
|  |   | U.S.  | PATE             | NT DOCU                   | JMENTS                                      |                                       |          |                                       |              |
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|  |   | DOCUMENT NUMBER   | D                | DATE                      | (   | COUNTRY                               |          | CLASS                                 | SUB<br>CLASS |
|  | 1   | JP A 2003-152487 w/ Abst & Trans  | 5/23/            | /2003                     | Japan                                       |                                       |          |                                       |              |
|  | 2   | JP A 63-018892 w/ Abstract  | 1/26/            | /1988                     | Japan                                       |                                       |          |                                       |              |
|  | 3   | JP A 53-145595 w/ Abstract  | 12/18            | 8/1978                    | Japan                                       |                                       |          |                                       |              |
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|  |   |   | <u> </u>         |                           |   | www.                                  |          |                                       |              |
|  | Τ.  | OTHER DOCUMENTS (Inc  |                  |                           |   |                                       |          |                                       |              |
|  | 4   | Kohji HOHKAWA et al. "Surface Acoustic Wave Temperature Compensated Oscillator", Musashino Electrical Communication Laboratory, N.T.T. pgs. 27-34 |                  |                           |   |                                       |          |                                       |              |
|  | 5 Koichi HIRAMA et al. "A Temperaure Compensated Crystal Oscillator Utilizing Three Crystals" 73/3 Vol. 56-A N pgs. 155-162 |   |                  |                           |   |                                       |          |                                       | A No. 3      |
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| Examiner: Ir   | nitial if   | citation considered, whether or not citation and not considered. Include copy o   | tation           | is in con                 | formance with M                             | .P.E.P. 609; draw                     | line thr | ough citation                         | on if not in |

Date: September 29, 2003